



PENROD

SEA CARRIERS

These general questions should be followed on a case-by-case basis depending on the company's size and structure. Some questions may not be applicable to all.

Conveyance Security:

1.) Does conveyance security include the physical search of all readily accessible areas, the securing all internal/external compartments and panels as appropriate, and procedures for reporting cases in which unmanifested materials or signs of tampering are discovered? Please explain.

Access Controls:

What access controls does your company have in place to include the checking of positive identification of all employees, visitors, and vendors?

What procedures are in place for challenging unauthorized/unidentified persons?

Procedural Security:

Explain the security procedures in place provide for complete, accurate and advanced lists of crews and passengers aboard the vessels?

Is cargo loaded and discharged in a secure manner under supervision of a designated security representative and how are shortages/overages reported appropriately according to procedures? Please explain.

What are your procedures in place for verifying seals on containers?

What are the procedures for notifying Customs and other law enforcement agencies in cases where anomalies or illegal activities are detected, or suspected, by the company?

Manifest Procedures:

What procedures are in place to ensure manifests are complete, legible, accurate and submitted in a timely manner pursuant to Customs regulations?

Personnel Security:

Does the company have a process for employment screening, application verifications, the interviewing of prospective employees and periodic background checks? Please explain.

Education and Training Awareness:

What security awareness programs are provided to employees to include recognizing internal conspiracies, maintaining cargo integrity, and determining and addressing unauthorized access?

Do these programs encourage active employee participation in security controls?

Physical Security:

Are carrier buildings constructed of materials, which resist unlawful entry and protect against outside intrusion?

Is there adequate perimeter fencing, lighting inside and outside the facility, and locking devices on external and internal doors, windows, gates, and fences?

C-TPAT Compliance:

Are you eligible for C-TPAT Certification? Circle: Yes or No
(You must be conducting business within the United States to receive certification).
Have you applied for certification? If yes, have you received your certification?

If you answered "yes" to the last question, please provide us with a copy of your C-TPAT Status Verification Interface number.

Other Security Accredited Organizations:

Does your company participate in other programs designed to certify supply chain security?

If yes, please provide the name and contact information of these organization(s) and advise your level of participation. If you have received any certification from these organizations, kindly provide documents that reflect your certification.

Company Name:

Signature:

Manager Name:

Date:

After the form is completed, kindly send via fax, PDF, or mail back to your Penrod representative. Sorry, we cannot accept typed signatures; we must have an actual signature.