



C-TPAT Security Questionnaire for Manufacturers / Suppliers

These general questions should be answered on a case-by-case basis. Depending on the company's size and structure, the questions may not be applicable to all.

Business Partner Requirement: Foreign manufacturers must have written and verifiable processes for the selection of business partners including carriers, other manufacturers, products suppliers and vendors.

Please describe the review process you use to determine that your business partners have adequate security measures in place to protect the integrity of our cargo in the supply chain.

Container Security: Container and seal integrity must be maintained in order to protect against the introduction of unauthorized material and/or persons.

Please describe how seals on containers, trailers, and railcars are controlled and verified.

Do you use seals that meet or exceed current PASISO 17712 Standards?

Yes No Other (Explain below)

Describe your company's procedure for inspecting containers prior to loading to verify physical integrity.

Briefly summarize your control policy for seals on all documentation accompanying container shipments.

How does your company store empty and full containers to prevent unauthorized access?

List the procedures for notifying Customs and other law enforcement agencies in cases where anomalies or illegal activities are detected or suspected by the company.

Physical Access Controls: Access controls must include the positive identification of all employees, visitors, and vendors at all points of entry.

Describe how all employees, visitors, and vendors are identified prior to entering. Are there procedures for challenging unauthorized/unidentified persons in place? Please explain.

Personnel Security: Processes must be in place to screen prospective employees and to periodically check current employees.

What kind of employment screening, background checks, and application verifications are used prior to hiring?

Procedural Security: Security measures must be in place to ensure the integrity and security of processes relevant to the transportation, handling, and storage of cargo in the supply chain.

Do you have a designated security officer to supervise the introduction and removal of cargo? Please explain.

List and explain how material is properly marked, weighed, counted, and documented.

Describe procedures for detecting and reporting shortages and overages.

Physical Security: Cargo handling and storage facilities must have physical barriers and deterrents that guard against unauthorized access.

Describe the locking devices used for external and internal doors, windows, gates and fences.

Is segregation and marking of international, domestic, high-value, and dangerous goods cargo located within your warehouse by means of a safe, caged or otherwise fenced-in area? Please explain.

Describe the lighting provided inside and outside the facility to include parking areas.

Is the parking area for private vehicles separate from the shipping, loading dock, and cargo areas? Please explain.

Describe the internal/external communication systems in place to contact security personnel or local law enforcement.

Information Technology Security: Information Technology policies, procedures and standards must be in place and provided to employees in the form of training.

Is a system in place to identify the abuse of information technology including improper access, tampering or the altering of business data?

Security Training and Threat Awareness: Programs should be established to foster threat awareness at each point in the supply chain.

What kind of security awareness programs does the company provide to employees including recognizing internal conspiracies, maintaining product integrity, and determining and addressing unauthorized access?

How do these security awareness programs encourage active employee participation in security controls?

C-TPAT Compliance:

Are you eligible for C-TPAT Certification?

Yes No Not Applicable

(You must be conducting business within the United States to receive certification).

Have you applied for C-TPAT certification? If yes, have you received your certification? Please provide a copy of your C-TPAT Status Verification Interface number (SVI).

Other Security Accredited Organizations:

Do you participate in other programs designed to certify supply chain security?

Yes No None available in this area.

If yes, please provide the name and contact information of these organization(s) and advise your level of participation. If you have received any

certification from these organizations, kindly provide documents that reflect your certification.

Signature Page:

Company Name and Address

Manager Signature:

Manager Name and Title:

Date:

We cannot accept typed signatures; we must have an actual signature.