



C-TPAT Security Questionnaire for Manufacturers / Suppliers

These general questions should be answered on a case-by-case basis. Depending on the company's size and structure, the questions may not be applicable to all.

Physical Security: All buildings should be constructed of materials, which resist unlawful entry and protect against outside intrusion.

1.) What kind of adequate locking devices for external and internal doors, windows, gates and fences does your company use?

Is segregation and marking of international, domestic, high-value, and dangerous goods cargo located within your warehouse by means of a safe, caged or otherwise fenced-in area? Please explain.

Describe the lighting provided inside and outside the facility to include parking areas?

Is the parking area for private vehicles separate from the shipping, loading dock, and cargo areas?

Describe the internal/external communication systems in place to contact internal security personnel or local law enforcement police?

Access Controls: Unauthorized access to the shipping, loading dock and cargo areas should be prohibited. Do all employees, visitors, and vendors wear positive identification? Are there procedures for challenging unauthorized/unidentified persons in place? Please explain. _____

Procedural Security: Measures for the handling of incoming and outgoing goods should include the protection against the introduction, exchange, or loss of any legal or illegal material.

Do you have a designated security officer to supervise the introduction / removal of cargo?

List and explain how products are properly marked, weighed, counted, and documented?

What are the company procedures for verifying seals on containers, trailers, and railcars? (Use additional pages if needed)

Do you use seals that meet or exceed current PASISO 17712 Standards?

What are the procedures for detecting and reporting shortages and overages?

Describe your company's procedure for inspecting containers prior to loading to verify physical integrity?

Briefly summarize your control policy for seals on all documentation accompanying container shipments?

How does your company store empty and full containers to prevent unauthorized access?

List the procedures for notifying Customs and other law enforcement agencies in cases where anomalies or illegal activities are detected or suspected by the company.

Personnel Security: Employment screening/background checks. Does the company partake in employment screening and interviewing of prospective employees to include periodic background checks and application verifications? _____

Education and Training Awareness: Awareness programs. Does the company provide security awareness programs to employees including recognizing internal conspiracies, maintaining product integrity, and determining and addressing unauthorized access?

How do these programs encourage active employee participation in security controls?

C-TPAT Compliance:

Are you eligible for C-TPAT Certification? **Yes or No**
(You must be conducting business within the United States to receive certification).

Have you applied for certification? If yes, have you received your certification?

If you answer "yes" to the last question, please provide with your questionnaire a copy of your C-TPAT Status Verification Interface number (SVI).

Other Security Accredited Organizations:

Do you participate in other programs designed to certify supply chain security?

If yes, please provide the name and contact information of these organization(s) and advise your level of participation. If you have received any certification from these organizations, kindly provide documents that reflect your certification.

Company Name:

Signature:

Manager Name:

Date:

After the form is completed, kindly send via fax, PDF, or mail back to your Penrod representative. Sorry, we cannot accept typed signatures; we must have an actual signature.