



## C-TPAT Security Questionnaire for Land Carriers

These general questions should be followed on a case-by-case basis depending on the company's size and structure. The questions may not be applicable to all.

### **Conveyance Security:**

What security procedures does your company have in place to include the physical search of all readily accessible areas, securing all internal/external compartments and panels, and procedures for reporting cases in which unmanifested materials, or signs of tampering, are discovered?

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### **Physical Security:**

Are carrier buildings and rail yards constructed of materials, which resist unlawful entry and protect against outside intrusions? Please explain your answer.

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Are there adequate locking devices on external and internal doors, windows, gates and fences? Please explain your answer.

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Is there perimeter fencing and adequate lighting inside and outside the facility, to include the parking areas? Please explain your answer.

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Is there segregation and markings of international, domestic, high-value, and dangerous goods cargo within the warehouse by a safe, caged or otherwise fenced-in area? Please explain your answer.

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**Access Controls:** Unauthorized access to facilities and conveyances should be prohibited.

What access controls does your company have to positively identify all employees, visitors, and vendors as well as procedures for challenging unauthorized/unidentified persons?

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**Procedural Security:**

What security controls are in place to ensure proper marking, weighing, counting, and documenting of cargo/cargo equipment under the supervision of a designated security representative?

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Does the company have procedures in place for verifying seals on containers, trailers, and railcars, and a system for detecting and reporting shortages and overages?

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Is the timely movement of incoming and outgoing goods tracked and are there procedures for notifying Customs and other law enforcement agencies in cases where anomalies or illegal activities are detected or suspected by the company?

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**Manifest Procedures:**

Does the company ensure that manifests are complete, legible, accurate, and submitted in a timely manner to Customs?

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**Personnel Security:**

Are there procedures in place for conducting employment screening and interviewing of prospective employees to include periodic background checks and application verifications?

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**Education and Training Awareness:**

Does the company provide security awareness programs to employees including recognizing internal conspiracies, maintaining cargo integrity, and determining and addressing unauthorized access?

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Do the programs encourage active employee participation in security controls?

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**C-TPAT Compliance:**

Are you eligible for C-TPAT Certification? Yes or No (You must be conducting business within the United States to receive certification).

Have you applied for certification? If yes, have you received your certification?

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If you answer “yes” to the last question, please provide with your questionnaire a copy of your C-TPAT Status Verification Interface number (SVI).

**Other Security Accredited Organizations:**

Do you participate in other programs designed to certify supply chain security?

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If yes, please provide the name and contact information of these organization(s) and advise your level of participation. If you have received any certification from these organizations, kindly provide documents that reflect your certification.

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Company Name:

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Manager Name (Printed):

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Manager Signature:

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Date:

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*After the form is completed, kindly send via fax, PDF, or mail back to your Penrod representative. Sorry, we cannot accept typed signatures; we must have an actual signature.*